#### **ABSTRACT: 2013 ELAM Institutional Action Project Poster Symposium**

Project Title: The establishment of an ombuds office in a new health sciences center

Name and Institution: Kathryn V. Horn, M.D. TTUHSC Paul L. Foster School of Medicine

**Collaborators:** Ombuds taskforce consisting of faculty, students and staff from the TTUHSC Paul L. Foster School of Medicine, TTUHSC Gayle Greve Hunt School of Nursing and TTUHSC Graduate School of Biomedical Sciences

#### **Background:**

In keeping with the AAMC Compact between Teacher and Learner, Texas Tech University Health Sciences Center-El Paso promotes the professional values of integrity, mutual respect, honesty, compassion, fidelity, and dependability. A recent survey of medical students on the campus revealed a greater than expected incidence of mistreatment as compared to other medical schools. Other institutes of higher learning have found that an Ombuds Office can help address concerns of misunderstanding, inequities, and mistreatment. Development of an Ombuds Office at our institution could help reduce concerns of unprofessional behavior as our student body and faculty expands in the coming years.

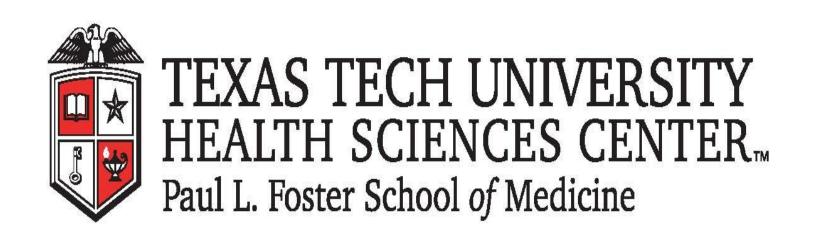
**Purpose/Objective:** Evaluate the feasibility of developing an Ombuds Office at the TTUHSC- El Paso campus

**Method/Approach:** An ombudsman at our institution would offer a venue for students, staff, residents and faculty who feel they have been subjected to a breach of professional behavior. The ombudsman would function as a neutral party to listen, offer guidance, and potentially mediate concerns. This office would also help identify worrisome trends and help recommend solutions to reduce unprofessional behavior on our campus.

A taskforce that includes individuals from all three schools at TTUHSC-El Paso has been formed to explore the feasibility of developing an Ombuds Office on our campus. The expertise offered by these individuals will provide a solid foundation on which to build our recommendation. After thoughtful collaboration within the taskforce, input will be sought from the Employee and Faculty Councils, and the human resources department regarding the value of such an office. Once input from these groups has been carefully considered, the taskforce will present its findings to the administration.

Outcomes/Evaluation of Effectiveness: The effectiveness of the Ombuds Office on our campus will be evaluated using four separate but interrelated measures. These will include: 1) the extent to which the office is utilized, 2) a survey of those that use the facility to determine their level of satisfaction, 3) a focus group of students selected at random to determine their perception of the office and its effectiveness, and 4) and an indirect measure based on exit surveys of graduating students and their level of perceived mistreatment. The first medical and nursing school classes graduating in 2012 and 2013 will not have benefited from such an Ombuds Office. This group will serve as a control. The results from future graduating classes will be compared to the control and to each other as an indirect measure of the successfulness of the office. The office will be considered effective if there is appropriate usage of the office, perceived benefit and effectiveness of the office, and significant decrease in the incidence of mistreatment.

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### The Establishment of an Ombuds Office in a New Health Sciences Center

Kathryn V. Horn, M.D. TTUHSC Paul L. Foster School of Medicine Collaborators: Herb Janssen, PhD and Wrennah Gabbert, PhD, RN Presented at the 2013 ELAM® Leaders Forum



Grievances cannot be redressed unless they are known, and they cannot be known but through complaints... If these are deemed affronts and the messengers punished as offenders, who will henceforth send petitions?...Where complaining is a crime, hope becomes despair. Ben Franklin

# Challenge:

LCME Student survey - unexpected level of mistreatment

Students suspicious of neutrality of administrators

Faculty grievance policy lacks process for mediation between faculty members

Limited number and availability of trained mediators on campus among HSC employees

## **Opportunities:**

Transitioning to free-standing Health Sciences Center

Need for full complement of accessible, local services

Need for student, faculty, staff and resident venue to voice concerns and complaints

### **Process:**

Identified "found pilots"

- TTU campus
- Other schools Buy in from all three deans
- Paul L. Foster SOM
- Gayle Greve Hunt SON
- TTUHSC Graduate School El Paso



# **Taskforce recommendations:**

Develop project description and mission Next steps: statement

Conduct focus groups with each stakeholder constituency to assess needs and build support

Examine experiences of other schools as they established an Ombuds Office

Educating constituencies & building support

Developing a business plan with funding specifications

Planning the employee hiring and staffing processes

## **Outcome Measurements:**

Educational seminars provided

**User Satisfaction** 

Data from focus groups regarding effectiveness

Alumni Questionnaires and Program exit surveys demonstrate decreased rate of mistreatment in each school

### **Taskforce**

#### TTUHSC Paul L. Foster School of Medicine

- Faculty member Herb Janssen, PhD, Professor Medical Education
- Administrator Kathryn Horn, M.D. Associate Dean for Student Affairs
- Staff Alex Garcia, MA, Director of Student Affairs
- Student Lisa Montgomery, MSIV

### TTUHSC Gayle Greve Hunt School of Nursing

- Faculty/administrator Wrennah Gabbert, PhD, RN, CPNP, FNP-BC, Senior Associate Dean and Professor
- Staff Gretchen Ruiz, Lead Specialist, Office of Student Affairs
- Students Laura Darilek 2<sup>nd</sup> Degree BSN Program; Albert Hernandez Traditional Undergraduate BSN

### TTUHSC Graduate School of Biomedical Sciences

- Faculty Anjali Joshi, PhD, Assistant Professor,
- Staff Myriam Casillas Senior Director, Office of the Associate Dean for Research
- Coordinator Geisha Soto
- Student Jacqueline Parada, first year Master's degree program

#### TTUHSC El Paso

Rebecca Salcido – Director of Human Resources

### Resources

Newhart, Mary. "Ombudsmen in Higher Education: Similarities, Divergences, and the Rise of Alternative Dispute Resolution" A Thesis Presented to the Faculty of the Graduate School of Cornell University. 2007. https://ecommons.library.cornell.edu/bitstream/1813/8147/1/NewhartMSThesis.pdf

http://www.ombudsassociation.org/resources/what-ombuds

http://www.depts.ttu.edu/studentresolutioncenter/

http://intranet.med.wisc.edu/main/30700